

Licensing & Registrations

14 Hasler Road. Osborne Park WA 6017 Telephone (08) 9445 5558

Email: licreginfo@rwwa.com.au

ABN 21 347 055 603

NEW LICENCE/PERMIT APPLICATION – THOROUGHBRED (TRAINER)

(1 year Licence) 1st August 2024 – 31st July 2025
Tick □ licence/s applying for

Mr/Mrs/Ms/Miss Surname	:	G	ven Names:	
Date of Birth (Day)	(Month)(Year)			
Current Residential Addr	Street/Lot No.			
(PO Box not accepted)	Street/Lot No.	Street Name	Location	Post Code
Current Postal Address:				
(If different to residential)			Location	Post Code
Current Phone Numbers	Home	Business	Mobile	
Current Email address		@		
Current Stable address				
List Track/Training Centre/s used	1.	2.	3.	
[] ✓ TICK IF YOU <u>DO NO</u>	T WANT YOUR CONTACT DETAILS DIVU	ILGED OR PUBLISHED IN THE RACING AF	EAD MAGAZINE.	
	ALL APPLICAN	TS MUST ANSWER A	II THESE QUESTIO	NS

1.	Have you previously been licensed or registered in any capacity by any Racing Controlling Body or Club? If YES show details here or attach separate report:	YES/NO
2.	Have you ever been refused a licence or registration or had a licence revoked or cancelled by any Racing Controlling Body or Club? If YES show details here or attach separate report:	YES/NO
3.	Have you ever been suspended, disqualified, warned off, fined or listed as a defaulter by any Thoroughbred, Harness or Greyhound Racing Controlling Body or Club? If YES show details here or attach separate report:	YES/NO
4.	Have you been convicted for any criminal offence punishable by fine or imprisonment or have you ever been or are you Now subject to a bond or recognizance? If YES contact the Stewards to arrange an interview in order to maintain confidentiality (08) 9445 5570	YES/NO
5.	Are you or have you ever been declared as bankrupt? If YES show details here or attach a separate form.	YES/NO
6.	If you are not fully employed as a trainer, stable hand or track work rider, state your other employment, employer name/s and employer address/s.	
	(a).	
	(b).	
7.	Attached a brief summary of your experience relevant to this application.	
8.	If you are applying for any class of trainer's licence/permit do you intend riding track work? If yes, you must provide a MEDICAL HISTORY & EXAMINATION RECORD	YES/NO

Privacy - The information collected on this form will be used for the purposes of transacting Racing & Wagering Western Australia (RWWA) business

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- 1. I hereby authorise Racing and Wagering Western Australia (RWWA) to check any details of information given in this statement, including but not limited to, criminal convictions, financial commitments, etc. as the Authority in its absolute discretion deems necessary
- 2. I acknowledge, if I am applying for a trainer's licence/permit that
 - a. I will take out Workers Compensation insurance when I employ workers ("Workers" as defined in the Act) to assist me in my training activities
 - b. The training of all Horses/Greyhounds in my care will be under my personal supervision
 - c. I will notify RWWA immediately whenever a Horse/Greyhound leaves my control or comes under my control where it is my intention to prepare the Horse/Greyhound to participate in trials or races and
 - d. I will permit the Stewards or Officials of RWWA to enter at any time and inspect my property and to exercise their powers under the Rules.
- 3. If I am applying for a trainer's licence/permit, I acknowledge my application will be rejected if I do not have public liability insurance.
- 4. If I am charged with an offence, by Police or one that is punishable by fine or imprisonment, I undertake to contact the Stewards immediately upon being charged.
- 5. If I have been convicted of an offence punishable by fine or imprisonment in the previous 12-months, I undertake to contact the Stewards (08 9445 5333) immediately and attach to this application a copy of my current Police Clearance Certificate anddetails of the Court ruling in relation to the offence.
- 6. I shall always conduct and present myself in a professional and proper manner and comply with RWWA Rules of Racing, Official's instructions and the powers afforded to them and that failure to do so can place my current and future involvement in the industry in jeopardy.
- 7. I acknowledge that in the event of becoming disqualified, I remain bound by and subject to, the Rules of Racing for the period of my disqualification, and that my current licence is forfeited and upon expiration of the disqualification I must re-apply for a Licence. I recognize in these circumstances such application may be refused or made subject to special conditions.
- 8. I acknowledge having read and understood the Rules of Racing and further undertake to read Official RWWA Publications so as to keep myself informed of any amendments to the said rules. I agree to be always bound by the Rules in force from time to time and any other lawful Rules, Regulations, Conditions and Directions as may from time to time be formed, made, or given by Stewards or Officials of RWWA.
- 9. I will provide the Licensing & Registrations Department with written advice of any changes to the details I have advised in this application within 48-hours of the change.
- 10. I hereby declare that all particulars stated on this application are true and correct, that I have read all the conditions appearing in this Application and acknowledge and agree to abide by all such conditions.
- 11. I acknowledge that the Stewards are empowered to punish any person who makes any false or misleading statement or declaration in respect of any matter in connection with the administration or control of Racing.
- 12. I agree that RWWA may record telephone conversations with you when you contact RWWA or are contacted by RWWA by telephone line in relation to any licence or racing related matter.

I_virtue of the provisions of	the Oaths, Affidavits and		ME) make this solemn declaration conscientiously I 2005	pelieving the same to be true and by
Made and subscribed by t	he above named and dec	ared		
on	_(Day)	_(month)	_ (Year)	_Signature of Applicant
At	place			
Before me		Signature of Witr	ness*	Full Name of Witness*
* Witness must be the list	of professions from the O	aths, Affidavits and Statuto	ry Declarations Act 2005	

APPLICANT FOR ANY CLASS OF TRAINER LICENCE/PERMIT MUST COMPLETE THIS SECTION

DETAILS OF STABLE CONSTRUCTION & SECURITY

Stable Type:	Barn	WIWO		Open Yards	Yard/Stall
Number of	Boxes		Yards		Paddocks
Construction of	Roof Walls				Floor
Security – Locks Applied to	Barn	Tack Room		Feed Room	Property
Security - Other	Dog/s Lights			Alarms	Other (specify below)
Other Security Measures					
PLAN OF STABLES (If insuf	fficient space attach separate plan)			STABLE OWNE	R / USERS DETAILS
			N	lame of Stable O	wner:
			- - - - A	ddress of Stable	Owner:
			S	TABLES	le Owner authorizing use of the
				Pate:	
			N -	lames of other st	able and property users:
			_		

Applicants for a Trainer licence, Permit to Train or Owner/Trainer permit must list details of the horses they train or intend to train (Attach a separate list if there is insufficient space here).

Stewards may determine suitability to be licensed given the number of horses.

Horse Names	Names of Owners or Lessees

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CONDITIONS APPLICABLE TO A TRAINER LICENCE/PERMIT

The following are preconditions to the granting of particular categories of licence or permit to train to a person;

- has practical experience reasonably required to carry on the vocation of a trainer, including (but not limited to) experience in attendance
 to and performance of duties involving the handling of thoroughbred racehorses at stables, track work, trials and race meetings
- has proper stable facilities providing security and safety for staff and horses
- has adequate financial resources to carry on training activities without material risk of being unable to pay his/her debts as and when they fall due
- is of good reputation and character and is a fit and proper person to hold a licence or permit to train racehorses
- passes the written examination and interview conducted by the Stewards
- Demonstrates to the Stewards his/her competency in horse handling and saddling.
- Must be a permanent resident of Australia

Without in any way limiting the power of RWWA to prescribe terms and conditions in respect of a licence or permit to train, the following categories of licence or permit to train are, unless otherwise determined by RWWA, subject to the conditions specified:

Open Class Trainer:

- Has had at least 5-years experience as a trainer under a current B Class or its equivalent in another racing jurisdiction
- Has demonstrated considerable success in training horses to criteria set by RWWA.

B Class Trainer:

Has had at least 5-years experience as a trainer under a permit to train or its equivalent in another racing jurisdiction.

Permit to Train:

- Has experience in the form of at least 5-years experience as a jockey, apprentice, stable foreman, assistant trainer, stable employee or any combination thereof and hold a current licence
- Provide at least three references from industry participants, one of which must be from a licensed Open Class or B Class Trainer, attesting to the applicant's skill, competency and general suitability to hold a Permit to Train.
- New applicants for a Permit to Train will only be permitted to train a maximum of five horses for the first 12 month period of their
 license, or until such time that they demonstrate a suitable level of success and solvency within their business. After such time they
 may apply to Stewards for an increase in number. In this respect Stewards will review the operations of new trainers after 12-months to
 ensure they continue to be solvent.

Owner/Trainer:

A person holding an Owner/Trainer permit may train only horses owned or leased solely or in partnership with members of the person's
own family. For the purpose of this rule family shall mean: parents, spouse, children and siblings over 18 years. For the purpose of this
rule the term "spouse" includes a spouse whether married or de facto. For the purpose of this rule the term "de facto" means where two
persons are living together as a couple on a genuine domestic basis and have done so for at least 2-years. Couple does not include cotenants.

A Trainer licence, Permit to Train or Owner/Trainer permit may be limited as to duration or locality or both. Subject to the previous clause every Trainer licence, Permit to Train and Owner/Trainer permit shall expire on the thirty-first day of July in each year. Should a trainer be unable to attend a race meeting where any horse trained by him is engaged he shall nominate his registered stable foreperson or another licensed trainer to be responsible for such horse at the meeting. A trainer or holder of a permit to train or owner/trainer permit shall not, without having made written application and obtained the consent of the Stewards, stable any horse trained by him or her in any location other than his registered stable address as notified on this application or subsequent renewal.

Where an initial application for a Trainer's licence, Permit to Train or Owner/Trainer's permit is rejected, no further application shall be considered for a period of 6-months from the date of rejection of the first application, and a person who has had a second application for a Trainer's licence, Permit to Train or Owner/Trainer's permit rejected within 12-months of the rejection of the initial application shall have no further application considered for a period of 12-months from the date of rejection of the second application, and should that or any subsequent application be rejected any further application shall not be considered for 12-months thereafter unless, the person is specifically invited to make application.

RWWA may refuse to consider any application for a Trainer's licence, Permit to Train or Owner/Trainer's permit until it is satisfied that any direction or condition that it may require as a prerequisite to the granting of such licence or permit has been satisfied.

Every person holding a Trainer Licence, Permit to Train or Owner/Trainer Permit from RWWA shall apply to RWWA to register every person employed by or assisting him/her in connection with the training or care of racehorses. No employee unless registered shall be retained in employment.

A Trainer or any person holding a Permit to Train or Owner/Trainer permit shall not permit a person who is not the holder of a current Track Work Rider permit to ride track work on a racecourse or training track.



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THOROUGHBRED LICENCE APPLICATION CHECKLIST

All Licence Applications	√
 Complete the application form in full Payment of fees must accompany all applications Provide a current (not older than six (6) months) National Police Clearance if 18 or over Provide a recent Passport sized photo which can be taken with your mobile and emailed or text to 0418 104 309 Ensure that application is signed and witnessed Complete the GST Declaration (not for Stablehands or Track Work Riders) Attach a full copy of either one of the following proofs of ID: Birth Certificate, Certificate Extract of Birth, Current Passport or Current Drivers Licence 	
<u>Trainers – Permit to Train or Owner/Trainer or Pre Trainer</u>	
 If you wish to ride track work on registered tracks a RWWA Confidential Medical Examination Report Provision of urine sample* RWWA Statement of Assets and Liabilities Copy of three (3) months of Bank Statements, with a consistent minimum, each month, of \$7,500 in savings Two credit references from suppliers (preferably from within the racing industry i.e.; Feed Merchants, Veterinary Surgeon's etc.) Three references from industry participants, one of which must be from a licensed Open Class or B Class trainer Current lease agreement if stables not owned Interview by Stewards including a theoretical test of horse knowledge Take out Worker's Compensation Insurance for any employees refer to www.workcover.wa.gov.au Certificate of Currency of your Worker Compensation Insurance Cover attached 	
Track Work Riders	
 RWWA Confidential Medical Examination Report Parental/Guardian consent (if applicant is under 18 years of age) Provision of urine sample* Interview by Stewards 	

Please forward your completed documentation and fees to Licensing and Registrations.

^{*} Please contact RWWA to arrange a time to be interviewed and to provide a urine sample.

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THOROUGHBRED NEW LICENCE FEES AND INSURANCE PREMIUMS

2024 / 2025

LICENCE PERIOD

1 YEAR LICENCE	1 Aug t 31 Ju	
TRAINER LICENCE (All Classes)	Booklet	Online
Total (1 Year Licence) - TOTAL	\$742.00	\$700.00
Licence Fee	\$117.00	\$117.00
The Races WA incl GST	\$42.00	\$0.00
Public Liability Insurance (compulsory)	\$583.00	\$583.00



THOROUGHBRED TRAINERS PUBLIC LIABILITY INSURANCE NOTICE

It is a Racing and Wagering Western Australia (RWWA) requirement that all licenced trainers in Western Australia have public liability insurance.

The general purpose of public liability insurance is to provide protection for trainers against liability arising from injury to a third party (or damage to a third party's property) which was caused by the negligence of the trainer.

The premium allocation consists of a base flat fee charged to all WA licenced trainers and included with the licence, and a fee per start allocated to all official races and trials and invoiced to trainers.

RWWA currently participates in a national scheme arranged by the Australian Trainers' Association (ATA) and Jardine Lloyd Thompson Pty Ltd in Melbourne. Details of the policy are:

- The limit of indemnity is \$20 million for Public and Products Liability. A majority of Government bodies and local Councils are now requiring this level of indemnity in cases where trainers lease or have access to land or other property.
- Care, custody and control exposure such as claims made by owners for horses in the trainers' care, custody and control is limited to \$5 million.
- In addition, Professional Indemnity cover is provided for liability arising from errors or omissions resulting from the carrying out by the trainer of his/her professional duties.
- Cover also extends to include some activities incidental to training such as agistment and breeding (excluding service) in some circumstances.
- There is no excess applicable to this policy.
- This policy requires you to inform the ATA immediately upon notice of a claim being made against you and under no circumstances should any admission of liability be made at any time.
- The ATA scheme, as with most public liability schemes, does not provide you with cover for claims made against you by any of your relatives who reside with you.
- Trainer Public Liability Insurance is compulsory for all class of trainers. RWWA has arranged this cover within a
 national scheme and it is compulsory for all trainers to be part of this scheme. A flat fee is payable with your licence
 application and a fee per start for official races and trials will be invoiced.
- The ATA has a web site detailing cover available under the policy, information on how to make a claim and policy documents. Go to ATA website: www.austrainers.com.au and click on Racing Information and Insurance.

No other action is necessary and cover is automatically affected once your licence application has been approved by RWWA for the period covered by your licence.

IMPORTANT NOTICE TO THOROUGHBRED TRAINERS'

RWWA has amended the Thoroughbred Application for Trainers' to include a condition of licence as follows:

THROUGHOUT THE TERM OF THIS LICENCE, THE TRAINER MUST EFFECT AND MAINTAIN A WORKERS' COMPENSATION EMPLOYER'S INDEMNITY POLICY WITH A LICENSED WORKERS COMPENSATION INSURER.

THE TRAINER MUST:

- (A) PAY ALL INSURANCE PREMIUMS ON THE POLICY REFERRED TO IN THIS CONDITION NOT LATER THAN THE DUE DATE FOR PAYMENT SPECIFIED IN THE FIRST PREMIUM NOTICE OR DEMAND FOR PAYMENT; AND
- (B) PROVIDE TO RWWA, A CERTIFICATE OF CURRENCY WITH THIS COMPLETED LICENCE DOCUMENT.

The condition of licence has the full support of the ATA and the WARTA.

The condition has been included to ensure that Trainers' have adequate cover to protect themselves from prosecution and in light of the recent changes to the *Workers' Compensation and Injury Management Act 1981* (Act) where Trainers' are accountable for Jockeys at unlicensed facilities (trainer's premises, unregistered tracks, bush, beach etc).

Please refer to FACT SHEET Workers' Compensation and Injury Management Amendment (Jockeys) Act 2012 issued by WorkCover WA.

Workers' compensation insurance is mandatory for employers who employ or engage 'workers' as defined by the Act. The following fall under the extended definition as a 'worker' of a trainer, regardless of being paid a wage, in cash or by other means:

- Stable Hand / Foreman
- Strapper
- Trackwork Rider
- Jockey (excluding registered race clubs training tracks)

For information about requirements under the Act, contact WorkCover WA's advisory services on Tel 1300 794 744 or visit the website at: www.workcover.wa.gov.au



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STATEMENT OF ASSETS AND LIABILITIES

CONFIDENTIAL DECLARATION

Property/s in own name situated at:	¢
	\$ \$
 I.	\$ \$
•	\$
Fatal Cash in Hand:	\$
otal Cash in Hand: otal Cash in Bank:	\$
	\$
Attach copy of bank statement/s.	
Savings Account:	\$
Attach copy of bank statement/s	
Other Assets Details	Φ.
	\$
•	\$
i.	\$
•	\$
A) = = = 1	
A) TOTAL ASSETS	\$
ABILITIES	
otal Owing on Property/s:	
out our specify of	
	\$
otal Bank Overdraft:	\$
otal Personal Loans:	\$
otal Credit Card Debt:	*
Rent Payments: (Monthly payment = \$) 12-Month	ıs: \$
lire Purchase Commitments Details:	*
	\$
	\$
Other Liabilities Details: (e.g. veterinarians, feed merchants etc.)	*
	\$
•	\$
·	\$
B) TOTAL LIABILITIES:	\$
C) EXCESS OF ASSETS OVER LIABILITIES (A minus B)	\$ \$
OJ ENOLOG OF AGGLIG OVER LIABILITIES (A IIIIIIUS D)	Ψ
APPLICANT'S DECLA	RATION
AFFLICANI 3 DECLA	K A I I O N
of	
of of of	by virtue of the provisions of the
hths, Affidavits and Statutory Declarations Act 2005. Made and subscribed by the	above named and declared
·	
isday of202	
Signature of Applicant	

Name and Qualification

• Witness must be the list of professions from the Oaths, Affidavits and Statutory Declarations Act 2005.

On 1 January 2006 the Parliament of Western Australia proclaimed the *Oaths, Affidavits and Statutory Declarations Act 2005* which makes changes to the manner in which oaths, affidavits and statutory declarations are administered and witnessed

Professions that can witness statutory declarations include:

- Academic {post-secondary institution}
- Accountant
- Architect
- Australian Consular Officer
- Australian Diplomatic Officer
- Bailiff
- Bank Manager
- Chartered secretary
- Chemist
- Chiropractor
- Company auditor or liquidator
- Court officer {Judge, magistrate, registrar or clerk}
- Defence Force officer
- Dentist
- Doctor
- Electorate Officer {State WA only}
- Engineer
- Industrial organisation secretary
- Insurance broker
- Justice of the Peace {any State}
- Lawver
- Local government CEO or deputy CEO
- Local government councillor
- Loss adjuster
- Marriage Celebrant
- Member of Parliament (State or Commonwealth)
- Minister of religion
- Nurse
- Optometrist
- Patent Attorney
- Physiotherapist
- Podiatrist
- Police officer
- Post Officer manager
- Psychologist
- Public Notary,
- Public Servant (State or Commonwealth)
- Real Estate agent
- Settlement agent
- Sheriff or deputy Sheriff
- Surveyor
- Teacher
- Tribunal officer
- Veterinary surgeon



RACING AND WAGERING WESTERN AUSTRALIA

ABN 21347055603

Licensing & Registrations 14 Hasler Road OSBORNE PARK WA 6017 Telephone (08) 9445 5558

Email: licreginfo@rwwa.com.au

LICENCE/PERMIT - MEDICAL EXAMINATION REPORT Part A & B

APPLICANTS NOTE:

- (1) Only return part A & B completed (This page) to Licensing & Registrations if you are passed "Fit".
- (2) Your Doctor must retain the completed parts C & D if you are passed "Fit".
- (3) If you are not passed "Fit" and wish to continue with your application you must return all parts completed, to Licensing & Registrations.

	А	PPLICA	NT MUST C	OMPLETE P	ART "A"	
PLEASE TIC	K LICENCE/PERNIT APPLIED	For 🗸				A
	THOROUGHBRED	Jockey	Apprentice Jockey	Track Work Rider	Trainer Riding Track Work	
	HARNESS	Driver	Trainer & Driver	Trainer	Stable Hand	
	or pension? eg				orm of accident or disab ility Pension etc. If so p	
prohib	ited substance u	nder the Ru	les of Racing for an	y condition? If so pro	pe categorised as a bann vide details. (Should yo before responding.)	ou have
Mr/Mrs/M S	s/Miss URNAME:		Gr	/en Names:		
relevant false or cancella currency permit a Racing Clinical relevant retain a	e that all the particular information or proventiation of my licence or and immediately add & Wagering WA (R reports and X-ray at information to other licence or permit. I	ulars stated or vided any fals ation, stateme or permit. I a permit I agree vise the Stewa WWA). I also and Pathology or medical pers acknowledge	this Report and attact e or misleading informent or declaration in talso declare should are to abstain from carry ands, and if required so authorise a Medical Proports from any Mesonnel to use the infortant I am required to p	ation, statement or decla this Report or attachment my of the Conditions con my on any activity regulubmit myself to examinate tractitioner approved by Foliationer I have a mation for the purposes of	d correct and that I have no ration. I acknowledge that ints I am liable to refusal, tained herein become eviduated by or associated with ion by a Medical Practitione RWWA to obtain information attended. I also authorise For of assessing my suitability to notification to Licensing & For	if I provide ar suspension of lent during the the licence of er approved by n from relevant RWWA to refer by be granted of
_	s/ Form: LRF-005	GNATURE OF AP	PLICANT		DATE .	

EXAMINING DOCTOR MUST CIHECK RELEVANT BOX

EXAMINING DOCTOR MUST COMPLETE PART"B" FITNESS FOR LICENCE/PERMIT APPLIED FOR



I have today personally examined the applicant in accordance with parts C & D of this Report, which included taking into account his/her answer to the questions in Part A "Have you at any time received, applied for or do you currently receive any form of accident or disability benefit or pension? eg Worker's Compensation/ Personal Injury Claim/Disability Pension etc. the , and hereby declare that (please check appropriate box:

	In my opinion the applicant <u>HAS NO SYMPTOMS OR CONDITIONS</u> that <u>N</u> for the issue of the licence/permit applied for and therefore <u>IS FIT</u> without re the licence/permit applied for. Further, I do not consider any further reports or tests are required of this ap unfavourable in the applicant's personality as revealed by history, appearant	estriction for the issue of plicant. I found nothing
	In my opinion the applicant has the following symptoms or conditions*** tha <u>UNFIT</u> for the issue of the licence/permit applied for and I recommend that the RWWA Medical Officer for further examination. (NB: Applicant must rethis option is applicable)	the applicant be referred to
	Symptom/Condition:	
medic	** Where an applicant requires on-going treatment with medication, or ations that are prohibited under the Rules of Racing (see details over) this more a licence and it is therefore appropriate to complete the above section.	
	NAME & ADDRESS OF EXAMINING MEDICAL PRACTITION	NER
	SIGNATURE OF EXAMINING DOCTOR	DATE

MEDICAL EXAMINER NOTE:

- (1) If the examining Doctor cannot declare the applicant's fitness to hold the licence/permit applied for, <u>all parts</u> must be completed and returned to the applicant if he/she wishes to continue with the application. The Examining Doctor may retain a copy.
- (2) If the applicant is under any treatment involving medications/substances prohibited by the RWWA Rules of Racing as outlined on page 3, this may render them unfit for licence, in which case this should be indicated accordingly above.
- (3) If the applicant is passed "Fit" parts, "C" & "D" must be retained by the Examining Doctor.
- (4) Please see EXAMINING DOCTORS NOTE regarding the licence/permit types.
- (5) Use of the words 'Fit" or "Fitness" refers to the "Fitness" of the applicant to carry out the activities regulated by the licence/permit applied for.

PROHIBITED/BANNED SUBSTANCES

The respective Rules of Racing for Thoroughbreds and Harness below, outline those substances that are prohibited or banned in riders, drivers, Jockeys, Track Work Riders and other categories specified within the Rules.

The following are a guide to banned substances which also includes "drugs of abuse" within the scope of that expression as used in the document published by Standards Australia AS4308-1995 or its equivalent.

Lysergic acid diethylamide (LSD) (0µg/L);

All barbiturates (0µg/L);

All diuretics (0µg/L):

Probenecid: (0µg/L)

Alcohol (at a concentration in excess of 0.02% on a breath analyser):

All stimulants – substances in this group include, but are not restricted to, Amphetamine (150µg/L): Methylamphetamine (150µg/L): Methylenedioxyamphetamine (MDA) (150µg/L): Methylenedioxymethylamphetamine (MDEA) (150µg/L): Methylenedioxymethylamphetamine (MDMA) (150µg/L): Methylphenidate (0µg/L): Modafinil (0µg/L): Cocaine (100µg/L): Ephedrine (10,000µg/L).

Substances in this group excluded are: Levo-amphetamine: Levo-methylamphetamine: Phenylpropanolamine: Pseudoephedrine.

All anorectics – substances in this group include, but are not restricted to, Phentermine (500µg/L): Diethylpropion (0µg/L): Sibutramide (0µg/L).

All opiates and opioids – substances in this group include, but are not restricted to, Morphine (0µg/L), save as specified by AR.81C):

Codeine (0µg/L), save as specified in AR.81C): Oxycodone (0µg/L): Fentanyl (0µg/L): Alfentanil (0µg/L): Pethidine (0µg/L):

Methadone (0µg/L): Heroin (0µg/L): Monoacetylmorphine (0µg/L): Hydromorphone (0µg/L):Buprenorphine (0µg/L).

Substances in this group excluded are: Dihydrocodeine: Dextromethorphan: Pholocodine: Propoxyphene: Tramadol

All dissociative anaesthetics and related substances – substances in this group include, but are not restricted to: Ketamine (0µg/L): Phencyclidine (0µg/L): Tiletamine (0µg/L).

Gamma-hydroxybutyrate (GHB) and pro-drugs of GHB (1,4-butanediol: gammabutyrolactone) (10,000µg/L).

Benzylpiperazine (500 µg/L) and phenylpiperazine (0µg/L) and their derivatives (0µg/L).

Tryptamine derivatives (0µg/L) (e.g. dimethyltryptamine: alphamethyltryptamine: hydroxydimethyltryptamine and related substances)

All benzodiazepines – substances in this group include: but are not restricted to: Diazepam (200µg/L): Nordiazepam (200µg/L): Oxazepam (200µg/L): Alprazolam (100µg/L, as alpha-hydroxyalprazolam): Clonazepam (100µg/L, as 7-aminoclonazepam): Flunitrazepam (100 µg/L, as 7-aminoflunitrazepam): Nitrazepam (100µg/L, as 7-aminonitrazepam): Bromazepam (0µg/L): Clobazam (0µg/L): Flumazenil (0µg/L): Lorazepam (0µg/L): Midazolam ((0µg/L): Triazolam (0µg/L): and substances with similar structure or pharmacological activity – benzodiazepine receptor agonists (zalplon: zolpidem: zopiclone).

NOTE

RWWA recognises that some medications which may fall into the above categories are essential for the treatment of substantial illness, condition or ailment suffered by an applicant for licence. Where such medication is prescribed by a medical practitioner who is a recognised specialist in the relevant field of medicine permission may be granted in consultation with the RWWA Medical Officer for that person to be granted a licence. In such circumstances full details of the condition and medication must be outlined to the Stewards for approval prior to the person engaging in activity governed by a licence.

3

EXAMINING DOCTORS NOTE

KEY PHYSICAL FACTORS REQUIRED OF AN APPLICANT FOR ANY LICENCE/PERMIT

ARE – STRENGTH, MOBILITY, REFLEXES, EYESIGHT AND HEARING.
PLEASE ALSO REFER TO PAGE 3 REGARDING PROHIBITED OR BANNED
SUBSTANCES.

THOROUGHBRED APPLICANTS



- <u>Jockey & Apprentice Jockey:</u> Require overall fitness to control a thoroughbred horse whilst riding it in races and trials.
- <u>Track Work Rider:</u> Require overall fitness to control a thoroughbred horse whilst riding it in fast or slow work in close proximity to other riders and horses in training.
- Trainer who rides Track Work: Require overall fitness to control a thoroughbred horse whilst riding it in fast or slow work in close proximity to other riders and horses in training.

HARNESS APPLICANTS



- <u>Driver & Trainer/Driver</u>: Requires overall fitness to control a standardbred (Pacer/Trotter) horse whilst driving it from a sulky in races and trials.
- <u>Trainer:</u> Requires overall fitness to control a standardbred (Pacer/Trotter) horse whilst driving it from a sulky in fast or slow work in close proximity to other horses.
- <u>Stable Hand:</u> Requires overall fitness to control a standardbred (Pacer/Trotter) horse whilst driving it from a sulky in fast or slow work in close proximity to other horses.



RACING AND WASERING WESTERN AUSTRA

ABN 21347055603

Licensing & Registrations

14 Hasler Road OSBORNE PARK WA 6017 Telephone (08) 9445 5558

Email: licreginfo@rwwa.com.au

CONFIDENTIAL Part C & D

LICENCE/PERMIT - MEDICAL EXAMINATION REPORT

ONCE COMPLETED, AND THE APPLICANT IS DECLARED "FIT", PARTS C & D MUST BE RETAINED BY THE EXAMINING DOCTOR. IF THE EXAMINING DOCTOR CANNOT DECLARE THE APPLICANT "FIT " AND THE APPLICANT WISHES TO CONTINUE WITH THE APPLICANT, ALL PARTS MUST BE COMPLETED AND RETURNED TO THE APPLICANT FOR REFERRAL TO THE RWWA MEDICAL OFFICER.

- THE APPLICANT MUST COMPLETE PARTS A (PAGE 1) AND C (PAGES 4 & 5).
- THE EXAMINING DOCTOR MUST COMPLETE PARTS B (PAGE 2) AND D (PAGES 6 & 7).

PLEASE PRINT ALL I	. ,				С	
THOROUGHBRED	APPLIED FOR ▼ Jockey	Apprentice Jockey	Track Work Rider	Trainer Riding Track Work	r	
HARNESS	Driver	Trainer & Driver	Trainer	Stable Hand	Т	
Mrs/Ms/Miss Surname:		GIVEN NA	MES:	'		
[ave you experience	DETAILS	OF PERSON	AL MEDICAL H	IISTORY		
ONDITION					1,	
Nervous disorders state or attempted		Depression, nervous bre	eakdown, mental or emotion	al instability, Anxiety	Yes	١
Headaches or Migr				•	Yes	٠.
		ainting, giddiness or Epil	epsy.	•	Yes	٠,
		, Bronchitis, Asthma or 1		•	Yes	١.
		matic fever or Angina p			Yes	١
Indigestion, pain at Diarrhoea or Apper		or Duodenal ulcers, Hia	atus Hernia, Gall Bladder dis	ease, recurrent	Yes	١
Kidney or Bladder	problems, Cystitis	(Inflammation of the bla	dder) or Stones.		Yes	١
Diabetes, Goitre, T	hyroid disease or	any disease of the Lym	phatic Glands.		Yes	١
Anaemia or blood o	disease.				Yes	١
. Perforated eardrun	ns, deafness, Tinr	nitus (Noises in the ears)	, earache, ear discharge or	blocked ears.	Yes	
. Sinusitis, frequent l	head colds, block	ed nose, hay fever or all	ergies		Yes	١
. Back, spine or nec	k injuries or pain o	or Arthritis.			Yes	١
. Fractures or dislocation	ations.				Yes	١
. Head injury, concu	ssion or unconsci	ousness.			Yes	١
. Skin disease, Ecze	ma or Dermatitis				Yes	

Form: LRF-005

16. Speech defect.

17. Surgical procedures or hospital admission.

18. Any other sickness or injury not mentioned above.

19. Have you ever made a claim for Workers Compensation?

Νo

No

No

No

Yes

Yes

Yes

Yes

Ref. No.	Details			
20. TETANUS - What date did you last	receive a Tetanus injection or booster?			
21. TOBACCO - How many cigarettes of	or other tobacco products do you smoke per day?			
22. ALCOHOL - How many standard al	coholic drinks do you consume per day?			
	-			
	ls of any oral, injectable or topical medication currently prescribed for you by a Medi ed for you by a Medical Practitioner in the past (Also include any herbal preparations you u			
	ed for you by a medical Practitioner in the past (Also include any herbal preparations you t erwise). (NB See Page 3 and notation of page 2 regarding impacts for fitness for licence)			
1.	2.			
3.	4.			
5.	6.			



PHYSICAL EXAMINATION REPORT

THE MEDICAL PRACTITIONER WHO EXAMINED THE APPLICANT MUST COMPLETE & RETAIN PART D (PAGES 5 & 6).

APPLICA	NT'S SURNAME	:			GIVEN NAMES:			
1. Height (Metres Barefoot)	-			ht (Kilograms - derclothes)		3. Body Ma (Weight÷		I
EYES						EXAM	INER'S	COMMENTS
4. Lids & Cornea	- Normal?	•		Yes	No	_		
Visual acuity for	distance			Right	Left			
5. Uncorrected 6/					6/			
6. Corrected 6/				6/	6/	•		
7. Movement – Normal Ye				Yes - No	Yes - No			
8. Fields (Confror	8. Fields (Confrontation test) - Normal Y				Yes - No			
9. Are contact len	ises or spec	tacles worn?		No	Yes			
EAR NOSE & TH	IROAT							
10. Nose – Norma	al?			Yes	No			
	Ears			Right	Left			
11. External audit	tory canal –	Normal?		Yes - No	Yes - No			
12. Tympanic me	mbrane – N	ormal?		Yes - No	Yes - No			
13. Conversation Normal?	 Conversational voice @ 2.5 metres binaural – Normal? 				Yes - No			
MUSCULO SKEI	LETAL SYS	TEM						
14. Spinal Function	14. Spinal Function – Normal?				No			
	15. Strength & Range of movement in upper or lower extremities - Normal?				No			
16. Joints - Norm	nal?			Yes	No			
17. Limbs - Norm	al?			Yes	No			
18. Any orthopae	18. Any orthopaedic appliances worn?				Yes			
CENTRAL NERV	OUS SYST	ЕМ						
19. Pupillary Refl	exes – Norm	nal		Yes	No			
20. Tendon/Refle	xes – Norma	al		Yes	No			
21. Cranial nerve	s – Normal			Yes	No			
22. Any sign of gross sensory disturbance?				No	Yes			
23. Any sign of paresis, tremor or tics?				No	Yes			
CARDIO VASCU	LAR SYSTE	М		•				
24. Pulse rhythm	– Normal	Yes	No					
25. Heart sounds		Yes	No					
26. Pulse rate: BPM Normal?				? Yes	No			
27. Peripheral pu	lses - Norma	al?		Yes	No			
28. Blood Pressure	Sitting	(Systolic)	1	(Diastolic)	Standing	(Systolic)	1	(Diastolic)
29. Note: If BP is BP afte		140 (Systol as been lyin			Lying	(Systolic)	1	(Diastolic)

7

RESPIRATORY SYSTEM			EXAMINER'S COMMENTS
30. Respiratory system – Normal?	Yes	No	
DIGESTIVE SYSTEM & ABDOMEN			
31. Oropharynx – Normal	Yes	No	
32. Spleen – Normal	Yes	No	
33. Liver – Normal	Yes	No	
34. Other abdominal organs – Normal	Yes	No	
35. Is Hernia present?	No	Yes	
GENITO URINARY			
36. Urine - Glucose - Normal?	Yes	No	
Albumin - Normal?	Yes	No	
Other Abnormalities?	No	Yes	
37 Testes – Any abnormality affecting fitness?	No	Yes	
SKIN			
38. Skin - Normal?	Yes	No	
39. Any body marks or scars?	No	Yes	
OTHER			
40. Thyroid gland – Normal?	Yes	No	
41. Lymph glands – Normal?	Yes	No	
42. Speech - Normal?	Yes	No	
FEMALE APPLICANTS	•		
43. Dysmenorrhoea?	No	Yes	
44. Menorrhagia?	No	Yes	
45. Is the applicant pregnant?	No	Yes	
OTHER			
46. Is there evidence of drug or alcohol abuse?	No	Yes	
47. If the applicant is over 50 years of and please of	nsider but do	not perfo	rm, fasting blood lipids, glucose & stress ECG.

EXAMINING DOCTOR NOTE:

- If the Applicant is "Fit", part "A" and "B" (Page 1) must be completed and returned to the applicant for lodgement with his/her application. Part "C" and "D" (Pages 3 – 6) must be retained by the Examining Doctor.
- If the Applicant is <u>not</u> "Fit" and wishes to continue with the application all parts must be completed and returned to the Applicant for refereal to the RWWA Medical Officer. The Examining Doctor may retain a copy.
- Please note provisions with respect to prescription medicines or similar which may be contrary to the rules of racing. (see page 3).

PROHIBITED SUBSTANCE RACE DAY SAMPLE COLLECTION (SC) PROCEDURE

Trainers should be aware of the following information and requirements for their nominated witness regarding race day sample collection procedures.

WITNESS FOR A TRAINER

- The Trainer's witness must hold a licence or permit with RWWA. (Therefore, unless an owner of the horse holds a licence or permit with RWWA he/she cannot act as a witness for the Trainer).
- A horse selected for post-race SC may be permitted to drink water from a hose or freshly drawn water in a bucket. The horse should **not** be given any medication or be permitted to drink water from a bucket that has been left unattended and possibly adulterated.
- The witness must stay with the horse for the entire SC procedure.
- The witness must observe the sample collection, packaging and documentation.
- The witness must be available, at all stages, to answer questions from the Sample Collection Officer.
- The correct labeling, signing and packaging of all samples and paperwork is vital.
- The witness must sign the Sample Identity card where designated.
- The witness may ask questions regarding the procedure to be satisfied that the sample collection, packaging and documentation are in accordance with the standard operating procedures.
- The use of mobile telephones, drinking, smoking, and eating are not permitted in the sample collection area.

DR. JUDITH MEDD RWWA RACING INDUSTRY VETERINARIAN NOVEMBER 2010



RWWA LICENSED PERSONS - USE OF SOCIAL MEDIA POLICY

Introduction

This document outlines the expectations of RWWA, and provides guidance, with regards to the use of Social Media by persons who are licensed within the Racing Industry in all three codes of Racing.

Purpose

Social Media, including blogs, chat rooms, forums, facebook, twitter, youtube and other public media are a popular and ever-changing way for persons to interact with each other and share comment and opinions on issues.

RWWA acknowledges that Social Media is an important medium for persons within the Racing industry to engage with others, voice their opinions and be involved in debate on industry issues.

This Policy provides licensed persons with guidance as to the expectations of RWWA where Social Media comment/discussion/content or publication relates to anything in connection with Racing. It is designed to protect the interests of Racing and RWWA and the persons associated with them.

This Policy requires that when using Social Media persons are clear about their responsibilities in regard to the image of Racing, and ensure that any references to Racing are factually correct and accurate and do not breach confidentiality or integrity requirements. Person must show respect for the individuals and groups with which they may interact.

This Policy does not apply to personal use of Social Media by licensed persons where the user makes no reference to Racing or Racing related issues.

Scope

This Policy applies to all persons defined as Licensed within the Policy.

DEFINITIONS- for the purpose of this Policy:

"Licensed Person" means any Thoroughbred, Harness Racing or Greyhound;

Al Technician Bookmaker's Clerk Driver's Agent Owner Attendant Breeder Farrier Rider's Agent Stablehand Apprentice Jockey Catcher Handler Bookmaker Driver Jockey Strapper Track Rider Studmaster Trainer Veterinary Surgeon

NB: Person's mentioned above that do not hold a specific license with RWWA, are bound by the Rules of Racing in accordance with section 45(6) of the Racing and Wagering Act 2003 and for the purposes of this policy are therefore defined within the policy as "Licensed Persons".

"Racing/Racing Industry" means Thoroughbred, Harness or Greyhound Racing wherever in the world it is conducted.

"Social Media" means, but is not limited to, the personal or business use of:

- Social networking sites e.g. Facebook, MySpace, Bebo, Friendster and Linkedln;
- Micro blogging sites e.g. Twitter;
- · Weblogs, like Wordpress and Blogger, including corporate blogs, personal blogs or blogs hosted by media outlets;
- Online newspapers allowing comments;
- Wikis and online collaboration sites, like Wikipedia;
- Forums and discussion boards and groups, e.g. Whirlpool, Yahoo! groups or Google groups, including racing and sports related forums;
- Online multiplayer gaming;
- Instant messaging (SMS);
- Video and photo sharing websites e.g. Flickr and You Tube;
- Any Mobile app that allows reviews, comments or geo-tagging.

"Social Media platforms/channels" means Social Media platforms or Social Media channels are the sites used for Social media purposes, like Facebook, Twitter, blogs etc.

"Publish/Publications/Posts" means publishing, publications, posts and posting in this document all mean anything written, uploaded or otherwise published on a Social Media platform.

"Engage/Engagement" means actively publishing content of any type (text, photos, videos etc.) on Social Media channels as a reaction to other online publications.

"Content" means any text, image or video that is ready for publication or has been published on a Social Media platform.

Use of Social Media

Licensed Persons must not use Social Media to:

- Make/Publish any comments, which may be deemed to be detrimental to the image of Racing, or which may bring Racing into disrepute;
- Be disrespectful or abusive to any individuals or communities with which they interact/engage with;
- Use the identity of another licensed person or Racing official;
- Contravene any Rules of Racing;
- Disclose any information that is not readily available to the Public or disclose any confidential information (eg matters which are the subject of an ongoing Stewards inquiry or investigation);
- Publish any information in relation to Racing that is not factual or informed;
- Post material, content or comment, that is obscene, offensive, defamatory, disparaging, racist, threatening, harassing, bullying, discriminatory, hateful or abusive to another person or entity including Racing and RWWA, its employees, officials, other participants, contractors, partners and competitors.

Licensed Persons when using Social Media must:

- Adhere to the Terms of Use, and seek to conform to the cultural and behavioral norms, of the Social Media platform being used;
- Identify themselves as a licensed person if they are referring to any matter involving RWWA, its people, its officials, other licensed persons or competitors and/or other racing related individuals or organizations;
- Not publish/post any content, in relation to Racing, that is false, misleading or unsubstantiated;
- Respect copyright, privacy, financial disclosure and other applicable laws;
- Be polite and respectful of other individuals and communities opinions;
- Ensure that anything published is free from harassment regarding racial, ethnic, religious, physical or sexual characteristics or sexual orientation;
- Not make an assumption that their identity as a Licensed Person, within the Racing Industry, may not be revealed.

A breach of this Policy may result in that person, or persons, being called to appear before the RWWA Stewards to face the possibility of disciplinary action. As a result of these proceedings a Charge(s) may be laid under the Rules of Racing with penalties of fines, suspensions, disqualifications or cancellations of licenses being invoked.

Any queries in relation to this Policy should be directed to the RWWA Stewards Department.

(Paragraph in italics added to "Licensed Person" definition 25/2/13)

[&]quot;RWWA" means Racing and Wagering Western Australia.

DISCRIMINATION / SEXUAL HARASSMENT STATEMENT



DISCRIMINATION AND SEXUAL HARASSMENT

RWWA wishes to remind all industry participants of their rights and responsibilities with regard to discrimination and sexual harassment.

Discrimination and sexual harassment are unacceptable and unlawful forms of behaviour.

All people have the right to work in an environment that is free of sexual harassment, victimisation and discrimination.

RWWA, as the regulatory body for racing in Western Australia, provides support services, to promote working environments which are free of sexual harassment and discrimination. These services include the following:

Contact with Industry Representatives

Availability of course material for trainees and apprentice jockeys

Contact with independent bodies, eg. Human Rights and Equal Opportunities Commission, Anti-Discrimination Commission

A range of options exists to ensure all those involved in the industry have at least one channel of complaint with which they feel comfortable.

RWWA will not tolerate sexual harassment and discrimination in the Western Australian racing industry.

Our Stewards will enforce the Rules of Racing and will refer complaints to appropriate authorities which may include the police where appropriate.

WHAT IS DISCRIMINATION?

Discrimination occurs when someone is treated unfairly or badly compared to others, often because they are seen as different. It is against the law to discriminate against people because of:

- · Sex (whether male or female)
- Marital or parental status
- Race
- Age
- Impairment (whether physical, intellectual, psychiatric or mental disability, injury or illness, including whether they are HIV+ or use a guide dog, wheelchair or some other remedial device)
- Religion
- Political belief or activity
- Trade union activity
- Lawful sexual activity (whether they are gay, lesbian, heterosexual or bisexual)
- Pregnancy or breastfeeding

It is also against the law to discriminate against a person because they associate with or are related to someone who has any of these attributes or personal characteristics.

Unlike sexual harassment, which is against the law in all circumstances, discrimination is only against the law if it covers one or more of the grounds listed above and it occurs in any of the following areas:

- Employment
- Accommodation
- Education
- · Provision of goods and services
- Access to places and vehicles

- · Club membership and affairs
- Application forms
- Advertising
- Superannuation and insurance
- Sport

Additionally, the Racial Hatred Act covers public acts which are done because of race, colour, national or ethic origin of a person and are reasonably likely to offend, insult, humiliate or intimidate that person or group.

WHAT IS SEXUAL HARASSMENT?

Sexual harassment is any unwanted, unwelcome or uninvited behaviour of a sexual nature that makes a person feel humiliated, intimidated or offended.

Sexual harassment can take many different forms and may include physical contact, verbal comments, jokes, propositions, the display of offensive material or other behaviour, which creates a sexually hostile working environment.

Examples of sexual harassment include:

- lewd jokes, comments or innuendo
- · sexual comments, advances or propositions
- unzipping or undoing attire
- · offensive, obscene language or crude gestures
- persistent questions or insinuations about a person's private life
- display of sexist literature
- nude/pornographic pictures (including screensavers)
- offensive, obscene language or crude gestures
- obscene telephone calls, faxes or emails

Sexual harassment can involve behaviour that would also be an offence under the criminal law. For example, physical assault, indecent exposure, sexual assault, stalking or obscene communication.

Sexual harassment is <u>not</u> behaviour that is based on mutual attraction, friendship and respect. If the interaction is consensual, welcome and reciprocated it is not sexual harassment.

COULD DISCRIMINATION OR SEXUAL HARASSMENT AFFECT YOU? YES

Everybody who is engaged in activities related to the Western Australian Racing Industry must be aware of their responsibilities and rights with regard to discrimination, including sexual harassment.

Everybody includes owners; trainers; jockeys; RWWA's employees; contractors; trainees and all other associated persons who are engaged in activities in all areas of the racing industry in Western Australia.

WHAT ARE YOUR RIGHTS?

- · Everyone has the right to work in an environment which is free of harassment
- Sexual harassment is against the law
- Sexual harassment is against the Rules of Racing
- Confidential complaint procedures are available

WHAT DOES THE LAW SAY?

Sexual harassment is prohibited in Australia by the Federal *Sex Discrimination Act* and anti-discrimination laws in all States and Territories. The *Sex Discrimination Act* prohibits sexual harassment and other forms of discrimination in areas such as employment, the provision of services, education and accommodation.

Sexual harassment is a legally recognised form of sex discrimination. Sexual harassment can be a breach of an employer's common law duty to take reasonable care for the health and safety of employees. It can also be a breach of occupational health and safety legislation.

WHAT ARE THE RULES OF RACING?

Stewards are empowered to investigate misconduct or allegations of misconduct and to lay charges and impose penalties under certain Rules of Racing.

WHO IS RESPONSIBLE?

Are individual persons responsible? YES.

- Persons, including employers, employees and contract workers are personally liable for their own acts of sexual harassment
- A person is personally liable for any act of victimization or discrimination
- A person is personally liable for causing, instructing, inducing, aiding or permitting another to discriminate (including discrimination involving sexual harassment)

Are employers responsible? YES.

- An employer is vicariously liable* for any acts of harassment or discrimination committed by employees or agents in connection
 with their duties unless "all reasonable steps" were taken by the employer to prevent harassment and discrimination occurring
- Lack of awareness that an employee or agent sexually harassed or discriminated against another will not discharge and employer's vicarious liability*

*Vicarious liability is an employer's legal responsibility for wrongs committed by employees in the course of work.

HOW TO MAKE A COMPLAINT

Seek a complaint channel that you both trust and feel comfortable with. Investigate internal complaint channels. External complaint channels include:

- Human Rights and Equal Opportunities Commission
- State Equal Opportunities Commission
- Sexual Assault Resource Centre



RWWA LICENSING POLICY

The RWWA Integrity Assurance Committee (IAC) did resolve on the 20 August 2007 to adopt the following policy.

RWWA Licensing Policy

In determining whether a person is suitable, appropriate or a "fit and proper person" to hold a license, or remain licensed with RWWA, the RWWA Board or its delegate may take into account any relevant matter relating to the person and including the following:

- (a) That the person has satisfactorily met and continues to meet, the knowledge, ability and experience requirements relevant to that category of licence as determined from time to time by the licensing body.
- (b) Any conviction of the person of any offence under any Rules of Racing not restricted to these RWWA Rules of Racing; and
- (c) Any conviction of the person of an offence punishable by imprisonment regardless of whether imprisonment was applied;
 - (i) against another law of the Commonwealth; or
 - (ii) against a law of a State or Territory; and
- (d) Whether the person is, or has been, an undischarged bankrupt or is taking advantage of the laws relating to bankruptcy; and
- (e) Whether any false or misleading information or document has been provided in relation to the person at the time of application or renewal of licence;
- (f) Whether the person has previously been refused a licence by any licensing authority:
- (g) Whether or not the person is likely to breach a licence condition;
- (h) Whether the person has omitted to disclose or has not fully disclosed information that is relevant to an application for licence or renewal;
- (i) Whether the person has any association with persons or groups that are undesirable to the reputation of the racing industry; and
- (j) Without limiting any other paragraph, whether the person has failed to pay an amount or such other account for which the person is or was liable for as confirmed by an order of a Court or Magistrate having jurisdiction in such matters.

Any person found at any time to not be a "fit and proper person" may, in accordance with the relevant Rules of Racing, have any licence or registration held by them at time cancelled or have any application for licence or registration refused.

WHO CAN YOU CONTACT?

The RWWA Racing Integrity Division at

14 Hasler Road

OSBORNE PARK WA 6017 Phone: (08) 9445 5570

Greyhound Racing Stewards

Phone: (08) 9445 5237

Thoroughbred Racing Stewards

Phone: (08) 9445 5570

Harness Racing Stewards

Phone: (08) 9445 5157

HUMAN RIGHTS AND EQUAL OPPORTUNITY COMMISSION

Level 3, 175 Pitt Street, Sydney SYDNEY NSW 2000 Phone: (02) 9284 9600 Facsimile: (02) 9284 9611 General Enquiries: 1300 369 711 Complaints Hotline: 1300 656 419

Email: complaintsinfo@humanrights.gov.au Web: http://www.humanrights.gov.au

EQUAL OPPORTUNITY COMMISSION

Level 2, Westralia Square 141 St Georges Terrace PERTH WA 6805 Phone: (08) 9216 3900 Facsimile: (08) 9216 3960

Country Callers (FREECALL): 1800 198 149 Email: eoc@equalopportunity.wa.gov.au

Web: http://www.eoc.wa.gov.au

SEXUAL ASSAULT RESOURCE CENTRE (SARC)

Phone: (08) 9340 1820 Facsimile: (08) 9381 5426 Crisis Line (24 hours): (08) 9340 1828 Counselling Line (24 hours): (08) 9340 1899 Country Callers (FREECALL): 1800 199 888

CRISIS CARE

Department of Community Development Counselling Line (24 hours): (08) 9223 1111 Country Callers (FREECALL): 1800 199 008

LIFELINE WA

57 Murray Street
PERTH WA 6000
Counselling Line (24 hours): 131 114

Web: http://www.lifelinewa.org.au





RIDER SAFETY AT TRACKWORK

Racing & Wagering Western Australia (RWWA) and The Western Australian Turf Club (Perth Racing) jointly advises all licensed personnel that **ALL** riders riding track work at Ascot or Belmont Park Racecourses or Lark Hill must comply with the following requirements:

Safety Lighting:

Every person must, when mounted on a horse during darkness, have affixed to their skull cap a safety warning light or lights which is clearly visible from both the right and left hand sides.

High Visibility Apparel:

Every person must, at all times when mounted on a horse, wear an orange coloured high visibility vest. The recommended vest is the orange coloured EVIZ brand – High Visibility Vest (manufactured by Elliott Australia). Other brands as designated and approved by Perth Racing may also be permitted.

Non Compliance:

Any rider not appropriately equipped will **NOT** be permitted to ride track work.

Purchase of equipment:

Suitable safety lighting and the high visibility apparel can be purchased from the trainers stand at the track in the mornings. Bio John will also be carrying the range.

BRAD LEWIS
CHIEF STEWARD THOROUGHBRED RACING
16 September 2005

NOTICE FROM THE WESTERN AUSTRALIAN TURF CLUB

REGULATIONS APPLICABLE TO THE RACECOURSES & TRAINING GROUNDS UNDER THE CONTROL OF THE WESTERN AUSTRALIAN TURF CLUB.

The Western Australian Turf Club's Ascot and Belmont Park Racecourses are recognized as Official Training Tracks by Racing and Wagering Western Australia (RWWA) and are subject to both the Rules of Racing and the By-laws of the Club. Listed below are specific regulations applicable to both Ascot and Belmont Park Racecourses. The Committee may change these guidelines from time-to-time.

Trainers

- 1. No horse shall be brought onto Ascot and/or Belmont Park Racecourses unless it is under the charge of:
 - a) a trainer who holds a licence or permit issued by RWWA.
 - b) a visiting trainer who holds a clearance from another Principal Club or Association.
- 2. No trainer or other authorized licensed person shall permit a horse under their charge to be on the property at either Ascot or Belmont Park Racecourses unless that horse is attended by a licensed person at all times.
- 3. No trainer or person in charge of a horse shall occupy any scraping box for a longer time than may be necessary for the purpose of stripping and saddling such horse before working, and of dressing and unsaddling after work.

Horses

- 4. All horses found loose on the lands of the Club will be impounded.
- 5. Horses being exercised on race days shall only be walked in areas set aside for such purpose.
- 6. Horses exercising in breaking-in saddles may only work on tracks restricted to slow work.
- 7. No riderless horse may be led or exercised on the course proper (grass) or on any training track (sand/viscoride).
- 8. Walking exercise for horses on the lands of the Club is prohibited except in the areas provided for such purpose. Trotting and cantering in areas set aside for walking is forbidden, except where permission is otherwise given.
- 9. The grass tracks shall be used only for the purpose of fast work. Horses working on grass tracks must keep to the areas marked off for work.
- 10. Horses must pass over track crossings in single file, unless being led.

11.

- a) Horses being worked slowly on any training track must be ridden on the inside of the track, and horses doing fast work shall pass on the outside.
- b) Horses entering tracks must give way to horses working through.
- c) Horses entering tracks must proceed directly to the inside of the track.
- 12. All horses shall be taken anti-clockwise to the point from which their gallop is intended to start. All horses must enter tracks from specified openings.
- 13. Horses pulling up must keep to the outside of the track. When one horse is being passed by another, the horse overtaking shall go to the outside, and the horse being passed shall pull to the inside.
- 14. Special permission must be obtained to work horses clockwise on any track.
- 15. Horses galloping or working on the training tracks must not work more than five abreast, or such other number as the Stewards or Track Supervisor may from time to time direct.
- 16. No horse shall be allowed on any part of a training track unless it is wearing a bridle or head collar with a bit attached.
- 17. Horses suffering from any infectious diseases, or any complaint causing discharges from the nostrils, are not permitted on any training track.

Riders

- 18. Only persons licensed as a jockey, apprentice, track rider or trainer with permission to ride are permitted to ride.
- 19. No rider shall use any spurs on a racecourse or training track ground which are not approved by the Stewards. Roller or Rowel spurs will not be permitted. Shanks must be of a smooth metal and shall not be sharpened.
- 20. Every rider must, when mounted on a horse during darkness, have affixed to their skull cap a safety warning light or lights that is clearly visible from both the right and left hand sides.
- 21. Every rider must, at all times, when mounted on a horse, wear an orange coloured high visibility vest. The recommended vest is the orange coloured EVIZ brand High Visibility Vest (manufactured by Elliott Australia). Other brands as designated and approved by the WATC may also be permitted.
- 22. Riders who are not wearing suitable safety lighting and the approved high visibility apparel will not be permitted to ride track work. (20, 21, 22 added 9 September 2005).

Trials

- 22. The following horses will be eligible:
 - a) Horses referred back to trials by Stipendiary Stewards.
 - b) Horses which have not raced or trialed in the preceding 12 weeks.
 - c) All two years old horses
 - d) All un-raced horses.
 - e) Nominations for trials close at 9.30am on the Thursday preceding the trial day.
 - f) All horses must race in colours.

SUPERVISED JUMP OUTS

22A The following horses will be eligible:

- a) Un-raced horses.
- b) Horses referred by the Stipendiary Stewards.
- c) Horses which have not raced in the preceding 6 weeks.

22B OTHER:

- a) The intention to use blinkers for racing purposes must be specified at time of nomination.
- b) Horse to have trialed to the satisfaction of the Stewards and/or raced prior to the jump-out.
- c) Document of description to be made available prior to the jump-out.
- d) Horse to be ridden by a licensed jockey or apprentice having had more than fifty (50) race rides.
- e) Horses ridden by non permit apprentices or trainee apprentices who have been given permission to ride in trials.
- f) All jump-out entries close at 9.30am on the day appointed.
- g) Supervised jump outs will be charged the same as a grass track coupon.

<u>G E N E R A L</u>

- 23. No person shall be permitted between the fences, or on the training tracks or courses, or to stand about the gates of the same while horses are being worked, except the owners and trainers of such horses, officials and employees of the Club, and any other person authorized by Committee and/or RWWA.
- 24. No person shall bring a dog unless it is a seeing eye or hearing dog onto Ascot and/or Belmont Park racecourses. Other dogs may be impounded.
- 25. The Committee of the Club and/or RWWA or any official authorized to act on its behalf, may at any time at their discretion, restrict the use of any training ground to any particular area or to any particular day or time.
- 26. All fees for use of Ascot and/or Belmont Park Racecourses must be paid prior to horses being worked.
- 27. Notwithstanding that it or he may have acted negligently or in breach of any contract, or in breach of any statutory duty neither the Club nor the Chairman nor any member of the Committee nor any officer, agent, independent contractor or employee of the Club shall be under any liability to any person for any loss, damage injury (including death) of or to any horses or person while on any premises of the Club (including property vested in the Chairman under the Act) or while on any approach thereto or while using any facility provided by the Club or while in the custody or control or under the direction of any officer, agent, independent contractor or employee of the Club acting in the course of his duty as such officer, agent, independent contractor or employee.

EFFECTIVE 9 SEPTEMBER 2005 (Replaces all previous versions)



Industry Payments

Racing and Wagering Western Australia
ABN 21 347 055 603
14 Hasler Road Osborne Park WA 6017
Telephone (08) 9445 5558
licreginfo@rwwa.com.au

www.racingwa.com.au

NOTIFICATION OF FINANCIAL DETAILS – INDIVIDUAL											
		Please co	omplete o	_	icable						
GREYHOUND SECTION ONE – CONTACT DET	TALLS		HAI	RNESS		THOROUGHBRED					
SECTION ONE - CONTACT DET	AILS										
FULL NAME				ОВ))B)						
ADDRESS											
POSTAL ADDRESS IF DIFFERENT TO ABOVE											
CONTACT NUMBERS	(M) (H)										
EMAIL ADDRESS											
SECTION TWO – APPLICATION	OF FINA	NCIAL D	ETAILS								
APPLY TO PLEASE TICK ALL THAT APPLY	own	NER 🗌	BREE	DER 🗌	TRAII	NER	JOCI	KEY	DRIVE	R 🗌	
GREYHOUND	Only one bank account can be nominated per owner group/owner/breeder group regardless of the number of animals in each group. This one bank account will be used for all payments. Only the Manager of the group may authorise financial details for the group.										
HARNESS	Only one bank account can be nominated per owner group/owner/breeder group, unless split (individual) payments has been enabled. All members of the group must agree to individual payments for this to be enabled – refer to RWA website for more information. Only the Manager of the group may authorise financial details for the group, if not split.										
THOROUGHBRED	Bank account can be nominated per horse. Individual owners who supply a valid bank account on this form will receive prize money directly into their account via EFT. If no bank account details are provided for any given owner, their prize money payment will be forwarded to the Manager to disburse. Breeders (nominator for Westspeed), are paid individually.										
ANIMAL NAME									ALL:		
SECTION THREE – AUSTRALIA	N BANKII	NG DETA	ILS								
ACCOUNT NAME											
NAME OF BANK					BRANG	BRANCH					
BSB				-							
ACCOUNT NUMBER											
NOTE – Racing WA de	oes not re	commer	nd the us	e of a ba	nk accour	nt that y	ou do not	t have co	ntrol ove	r.	
DECLARATION						1.	1	.1			
I agree that the above informa WA (Racing and Wagering We				-		_				_	
NAME											
SIGNATURE					DATE						

PLEASE SEE NEXT PAGE FOR SECTION FOUR - HOBBY OR GST STATUS DECLARATION - MUST BE COMPLETED

SECTION FOUR – HOBBY OR GST STATUS DECLARATION

IMPORTANT INFORMATION

- ! If your horse or greyhound interests are registered under GST Legislation, you are required to provide the applicable ABN (Australian Business Number) so that prize money payments can be grossed up with the GST portion. Each person or entity that is GST registered should nominate the applicable ABN. You must advise RWA as soon as you become registered for GST and provide them with your ABN if you haven't already done so.
- ! Unless this form is provided with all relevant information, it cannot be processed, and all payments will be withheld until complete details are received. Please note that RWA is obligated to withhold the top rate of tax from the payment and remit to the ATO if an ABN, or requisite statement by Supplier, is not provided.
- ! Should you require clarification on these entity types or taxation rulings, please refer to the ATO website

ALL MUST COMPLETE – RESIDENCY STATUS												
Are you a reside	nt of Australia for income taxation purposes? Yes No											
If you have answered Yes, please complete either Option A or Option B below to advise respective taxation status											status	
_	relating to racing activities.											
If you have answered No , then we are obligated to withhold amounts under the Foreign Resident Withholding												
provisions (only applicable to jockeys/drivers).												
OPTION A – PARTICIPANT IS HOBBYIST FOR RACING PURPOSES												
Is the racing activity conducted as a private recreational pursuit or hobby? Yes												
I hereby confirm	n that all supplies made to	the W	estern	Austra	lian rac	ing inc	lustry b	y this	entity ar	e not	in the	course
of conducting an enterprise. Should the declaration change at any time, I agree to notify Racing WA (Racing and											ng and	
Wagering Western Australia) of such change within 7 days.												
SIGNATURE							DATE					
OPTION B – PARTICIPANT HAS AN ABN OR IS REGISTERED FOR GST FOR RACING PURPOSES												
Where the participant is GST registered the following agreement is given: AGREEMENT FOR THE ISSUE OF RECIPIENT CREATED TAX INVOICES												
Between												
Racing and Wagering Western Australia ("Recipient") ABN: 21 347 055 603 14 Hasler Road, OSBORNE PARK WA 6017												
And												
The Signatory (referred to as the "Supplier")												
Terms and Conditions The Supplier and Recipient agree that:												
1. The Recipient may issue tax invoices in respect of supplies made by the Supplier;												
The Supplier may not issue tax invoices in respect of supplies made by the Supplier;												
3. The Supplier acknowledges that it is registered for GST when it enters into this Agreement and it will notify the												
Recipient if it ceases to be registered; and												
4. The Recipient acknowledges that it is registered for GST when it enters into this Agreement and it will notify the												
Supplier if it ceases to be registered; and												
When you sign this GST Declaration, you are taken to have read this Agreement and agreed to the terms and conditions												
outlined above.												
ABN NUMBE	R (RELATED TO RACING):											
GST REGISTERED Yes No					START DATE							
SIGNATURE						DAT	E					

Racing WA's Privacy Policy

Please refer to Racing WA's Privacy Policy on www.racingwa.com.au

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Racing Services and Licensing

Racing and Wagering Western Australia
ABN 21 347 055 603
14 Hasler Road
OSBORNE PARK WA 6017
+61 8 94455558

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PAYMENT OPTIONS





Please note Racing WA only accepts Visa or Mastercard credit/debit cards.



Please call the number listed on your document to speak to one of our team members.



Cheques and money orders must be made out to: Racing and Wagering Western Australia



EFTPOS and cash payment options are available at the Racing WA office at: 14 Hasler Road Osborne Park WA 6017

Alternatively, save time and go paperless by utilising the online portals to complete your transactions in full:

MyOzChase Harnessweb MyHorse Portal







Don't have an account? Contact Racing Services on 9445 5558 or licreginfo@rwwa.com.au for further information.

Form: LRF-001



Need support now? We're here to help 24/7



Racing and Wagering WA in conjunction with PeopleSense has made available a free, independentandconfidentialmentalhealthandwellbeingsupport service to Licenced Racing Trainers, Harness Drivers, Stable Hands, Stable Forepersons, Track Work Riders, Greyhound Attendants and Licenced Farriers/Riders Agents.

Racing Assist offers 24 hour phone support and up to six free face-to-face counselling sessions run by trained professionals.

> 100% PRIVATE & CONFIDENTIAL 1300 307 912

> > racingassist.com.au